

NETXINVESTOR REGISTRATION GUIDE

Below are the instructions an investor with brokerage accounts held with Pershing, should follow to register and setup online access, as well as set up electronic delivery preferences.

Eligible registration types:

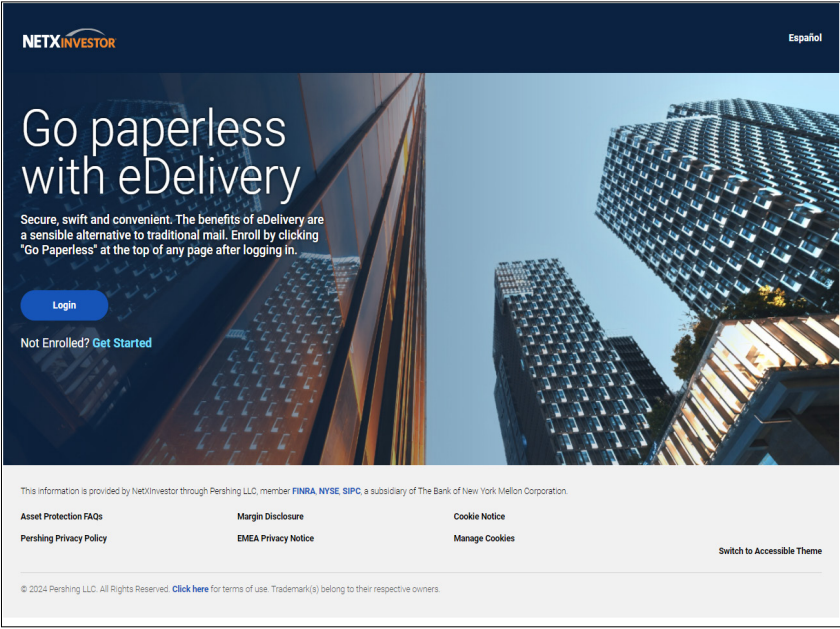
- Individual
- Joint
- Traditional IRA
- Roth IRA
- Rollover

Ineligible registration types include, but are not limited to:

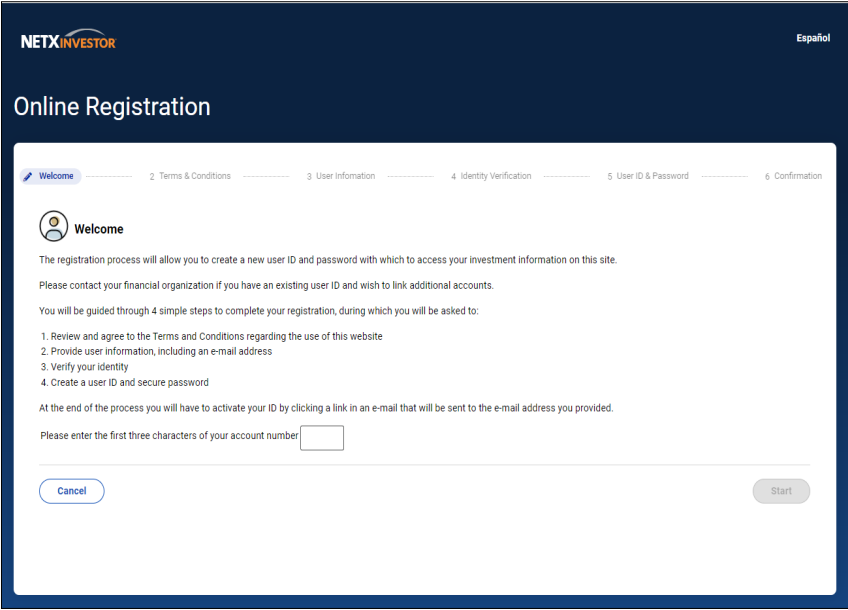
- Trust
- Simple IRA
- Corporation

For a full list of ineligible registration types or assistance with registering, please call Cambridge Investment Research, Inc. at 888-245-0452.

1. Visit www.netxinvestor.com and select **Register Now**.



2. Enter the first three characters of the account number and select **Start Registration**.



3. Read through Terms & Conditions. Check I agree and select **Continue**.

The screenshot shows the 'Terms & Conditions' step of an online registration process. The page title is 'Online Registration'. A progress bar at the top indicates the current step is 'Terms & Conditions', with other steps being 'Welcome', 'User Information', 'Identity Verification', 'User ID & Password', and 'Confirmation'. The main heading is 'Terms & Conditions' with a sub-heading 'Identity Verification Agreement'. The text explains that users must agree to the terms and conditions, have a Social Security number, and register using an account where their SSN is primary. It also mentions that users will be asked multiple-choice questions for identity verification. At the bottom, there is a checkbox labeled 'I agree' which is currently unchecked, and two buttons: 'Cancel' and 'Continue'.

4. Complete all fields under **User Information** and select **Continue**.

The screenshot shows the 'User Information' step of the online registration process. The page title is 'Online Registration'. A progress bar at the top indicates the current step is 'User Information', with other steps being 'Welcome', 'Terms & Conditions', 'Identity Verification', 'User ID & Password', and 'Confirmation'. The main heading is 'User Information'. The text states that the provided information will be used for authentication and that the service is provided by a third-party vendor. It notes that the service will not impact credit rating and that the 'Back' button should not be used. A note says 'All fields are required.' Below this, there are several input fields: 'First Name' (with a red error message 'First name is required'), 'Last Name', 'Legal Address Line 1', 'Legal Address Line 2 (optional)', 'Zip Code', 'City', 'State' (dropdown), 'Email Address', 'Country Code' (dropdown set to 'United States'), 'Phone Number', 'Social Security Number' (with a redacted field), and 'Date of Birth' (with a date picker). At the bottom, there is an 'Account Number' field and a note: 'Please enter an account number where you are a primary account holder or participant.' At the very bottom, there is a small asterisked note: '* This service is provided by EMC Corporation (NYSE: EMC), a leading developer and provider of information infrastructure technology and solutions.' There are 'Cancel' and 'Continue' buttons at the bottom.

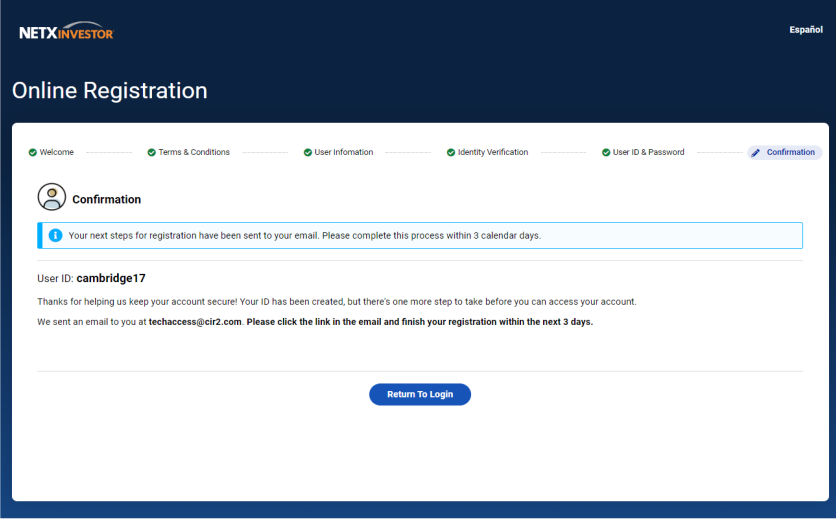
5. Complete the required Identity Verification questions and select **Continue**.

The screenshot shows the 'Identity Verification' step of the registration process. The page title is 'Online Registration' and the language is 'Español'. A progress bar at the top indicates the current step is 'Identity Verification'. The main heading is 'Identity Verification' with a sub-heading 'Please answer the following required questions to verify your identity.' The question is 'In which of the following housing complexes or communities have you ever lived or owned property?'. There are five radio button options: 'Fairview', 'First', 'Highland Park', 'Original Town Of Iowa City', 'Peirces', and 'I have never been associated with any of these communities'. At the bottom, there are 'Cancel' and 'Continue' buttons.

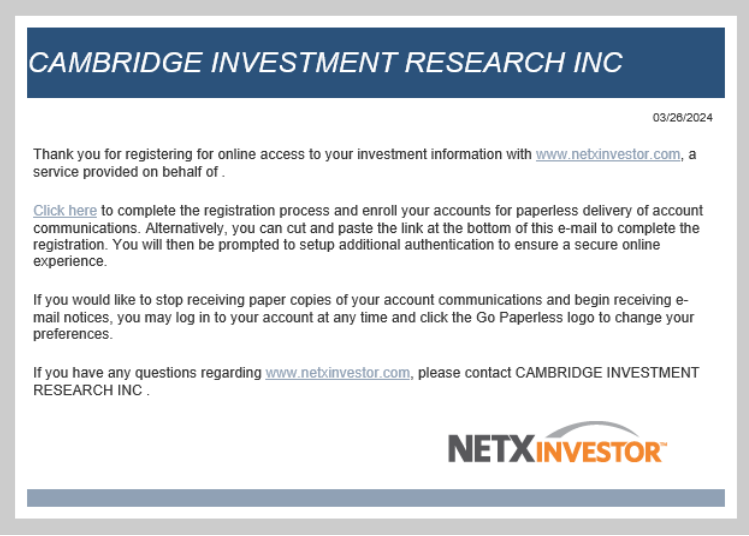
6. Create a User ID and Password by completing the required fields. Select **Continue**.
Note: refer to Password Rules when creating a password.

The screenshot shows the 'User ID & Password' step of the registration process. The page title is 'Online Registration' and the language is 'Español'. A progress bar at the top indicates the current step is 'User ID & Password'. The main heading is 'User ID & Password' with a sub-heading 'Create your user ID and Password to complete the registration'. There are several input fields: 'User ID', 'Password', 'Confirm Password', 'Email Address' (pre-filled with 'techaccess@cir2.com'), 'Country Code' (pre-filled with '+1 United States'), 'Phone', 'Mother's Maiden Name', and 'Date of Birth'. To the right, there are 'Password Rules' listed: 'not contain same as the user ID', 'contain a minimum of 8 and a maximum of 32 characters', 'contain at least one alpha and one numeric character', 'not contain linked account(s) name', 'contains at least one uppercase and one lowercase letter', and a tip: 'Passwords can contain the following special characters: & * @ : \$ = ! - % . # ? _ |'. At the bottom, there are 'Cancel' and 'Complete Registration' buttons.

7. The following confirmation screen will appear once completed. A confirmation email will be sent to the email address provided.



8. Open the confirmation email and select **Click Here** to be redirected to the NetXInvestor webpage to finish the registration process.



9. Select **Login** > enter the Financial Organization # **5CR** > enter User ID and Password > select **Login**

NETX INVESTOR Español

Go paperless with eD

Secure, swift and convenient. A sensible alternative to traditional "Go Paperless" at the top of a

Login

Financial Organization #

User ID or email address

Password

Remember User ID

Login

[Check firm's background on BrokerCheck](#)

[Forgot User ID?](#) [Forgot Password?](#)

This information is provided by NetXInvestor

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10. Enter and re-enter an email address to receive security alert notifications, then select **Save**.

NETX INVESTOR Español

Stronger Authentication

For your security, we require you to select an email address where we can send you security alert notifications.

NOTE: You must set up an email address

Send security alert notifications to the following address.

New Email

Confirm New Email

Save **Cancel**

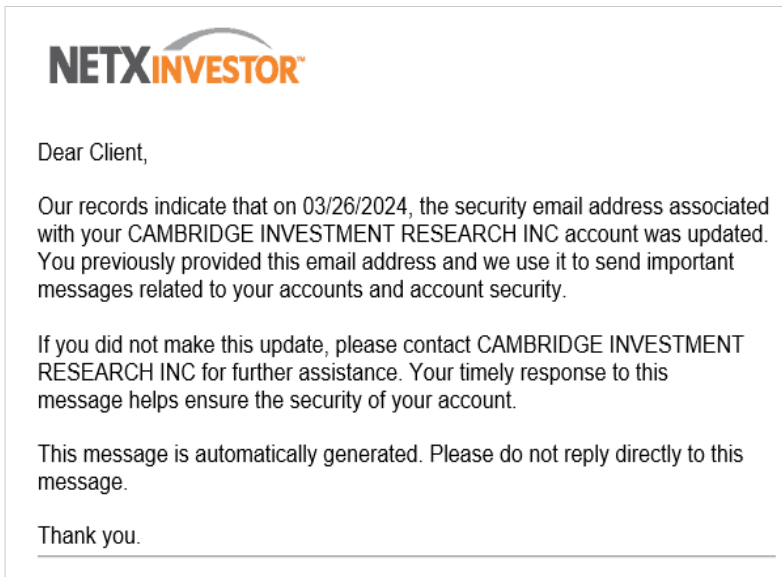
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[Asset Protection FAQs](#) [Margin Disclosure](#) [Cookie Notice](#)

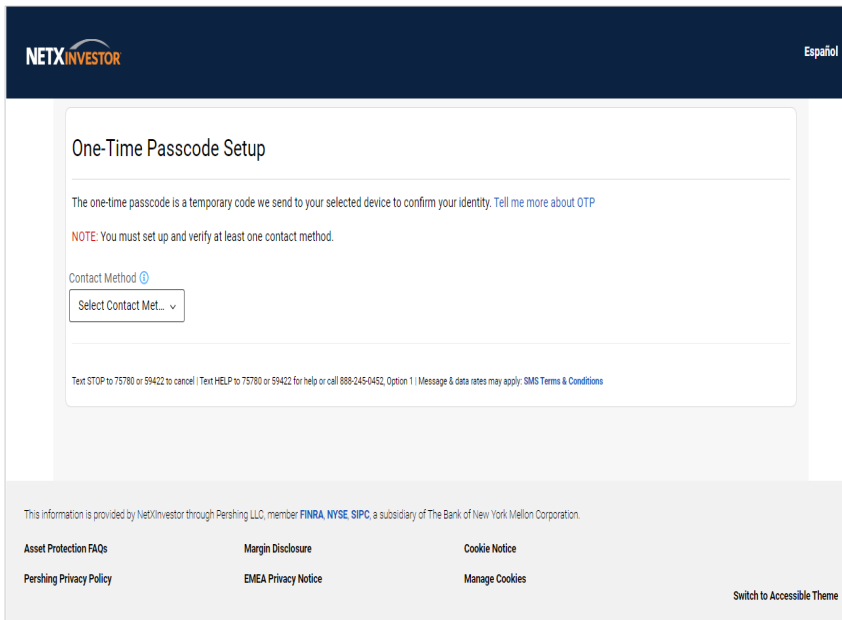
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11. A confirmation email, like the below, will be received to confirm this update.



12. Select **Contact Method** to setup a One-Time Passcode. This will be used as a 2-Factor Authentication for NetXInvestor login.



13. Choose from SMS/Text, Email, or Voice Call to receive a One-Time Passcode. Complete all fields and select **Verify**. Note: Device Nickname is a required field.

SMS/Text:

The screenshot shows the 'One-Time Passcode Setup' page for NetX Investor. The page is in Spanish ('Español'). It includes a header with the NetX Investor logo and a language selector. The main content area has a title 'One-Time Passcode Setup' and a sub-header 'The one-time passcode is a temporary code we send to your selected device to confirm your identity. Tell me more about OTP'. A note states: 'NOTE: You must set up and verify at least one contact method.' Below this, there are four input fields: 'Contact Method' (a dropdown menu with 'SMS/Text' selected), 'Country Code' (a dropdown menu with '+1 United States' selected), 'Phone Number' (a text input field with the placeholder 'Enter Phone Number'), and 'Device Nickname' (a text input field with the placeholder 'Enter Nickname'). To the right of these fields are two buttons: 'Verify' and 'Delete'. At the bottom of the form, there is a small text block: 'Text STOP to 75780 or 59422 to cancel | Text HELP to 75780 or 59422 for help or call 888-245-0452, Option 1 | Message & data rates may apply. SMS Terms & Conditions'. The footer contains a disclaimer: 'This information is provided by NetXInvestor through Pershing LLC, member FINRA, NYSE, SIPC, a subsidiary of The Bank of New York Mellon Corporation.' and a grid of links: 'Asset Protection FAQs', 'Margin Disclosure', 'Cookie Notice', 'Pershing Privacy Policy', 'EMEA Privacy Notice', and 'Manage Cookies'. A 'Switch to Accessible Theme' link is also present.

Email:

The screenshot shows the 'One-Time Passcode Setup' page for NetX Investor, similar to the SMS/Text version. It is in Spanish ('Español'). The main content area has a title 'One-Time Passcode Setup' and a sub-header 'The one-time passcode is a temporary code we send to your selected device to confirm your identity. Tell me more about OTP'. A note states: 'NOTE: You must set up and verify at least one contact method.' Below this, there are three input fields: 'Contact Method' (a dropdown menu with 'Email' selected), 'Email Address' (a text input field with the placeholder 'Enter Email Address'), and 'Device Nickname' (a text input field with the placeholder 'Enter Nickname'). To the right of these fields are two buttons: 'Verify' and 'Delete'. At the bottom of the form, there is a small text block: 'Text STOP to 75780 or 59422 to cancel | Text HELP to 75780 or 59422 for help or call 888-245-0452, Option 1 | Message & data rates may apply. SMS Terms & Conditions'. The footer contains a disclaimer: 'This information is provided by NetXInvestor through Pershing LLC, member FINRA, NYSE, SIPC, a subsidiary of The Bank of New York Mellon Corporation.' and a grid of links: 'Asset Protection FAQs', 'Margin Disclosure', 'Cookie Notice', 'Pershing Privacy Policy', 'EMEA Privacy Notice', and 'Manage Cookies'. A 'Switch to Accessible Theme' link is also present.

Voice Call:

The screenshot shows the 'One-Time Passcode Setup' page on the NetX Investor website. The page has a dark blue header with the 'NETX INVESTOR' logo on the left and 'Español' on the right. The main content area is white and contains the following elements:

- Section Header:** 'One-Time Passcode Setup'
- Text:** 'The one-time passcode is a temporary code we send to your selected device to confirm your identity. [Tell me more about OTP](#)'
- Note:** 'NOTE: You must set up and verify at least one contact method.'
- Form Fields:**
 - Contact Method:** A dropdown menu with 'Voice' selected.
 - Country Code:** A dropdown menu with '+1 United States' selected.
 - Phone Number:** A text input field with the placeholder 'Enter Phone Number'.
 - Device Nickname:** A text input field with the placeholder 'Enter Nickname'.
- Buttons:** A 'Verify' button and a 'Delete' button.
- Footer:** A small text line: 'Text STOP to 75780 or 59422 to cancel | Text HELP to 75780 or 59422 for help or call 888-245-0452, Option 1 | Message & data rates may apply. [SMS Terms & Conditions](#)'

At the bottom of the page, there is a footer with several links: 'Asset Protection FAQs', 'Margin Disclosure', 'Cookie Notice', 'Pershing Privacy Policy', 'EMEA Privacy Notice', and 'Manage Cookies'. A 'Switch to Accessible Theme' link is also present.

14. Enter the One-Time Passcode and select **Verify**.

The screenshot shows a 'Notification Method Verification' dialog box overlaid on the setup page. The dialog box has a dark blue header with the title 'Notification Method Verification' and a close button (X). The main content area is white and contains the following elements:

- Text:** 'We sent you a One Time Passcode (OTP) to verify the phone number you provided.'
- Text:** 'The code was sent to'
- Text:** 'Enter One Time Passcode.'
- Form Field:** A text input field for entering the OTP.
- Buttons:** 'VERIFY' and 'CANCEL' buttons.
- Note:** 'NOTE: You should receive a code within seconds. The code expires in 30 minutes. If you replied **STOP** to any of your previous messages, you will not receive the code. For help, Call your help Desk.'
- Text:** 'Didn't Receive a Code? Please make sure: The code is being sent to the correct phone number. Your mobile provider or SPAM filters do not block third party messaging. If you are unsure, contact your provider.'
- Button:** 'Send A New Code' button.

- Optionally, check **Remember My Device** and select **Save**. Checking Remember My Device will eliminate the requirement to get a One-Time Passcode upon login for 120 days on that specific device.

NETX INVESTOR Español

One-Time Passcode Setup

The one-time passcode is a temporary code we send to your selected device to confirm your identity. [Tell me more about OTP](#)

NOTE: You must set up and verify at least one contact method.

Contact Method	Phone Number	Device Nickname	Status	Action
SMS/Text		cell	Verified	Delete

[Add Another Method](#)

Remember My Device

Would you like to access Cambridge Investment Research, Inc. from this device in the future without the need for entering One-Time Passcode? You can choose to remember this device.

Remember My Device

NOTE: Your device will be remembered for 120 days

[Save](#) [Cancel](#)

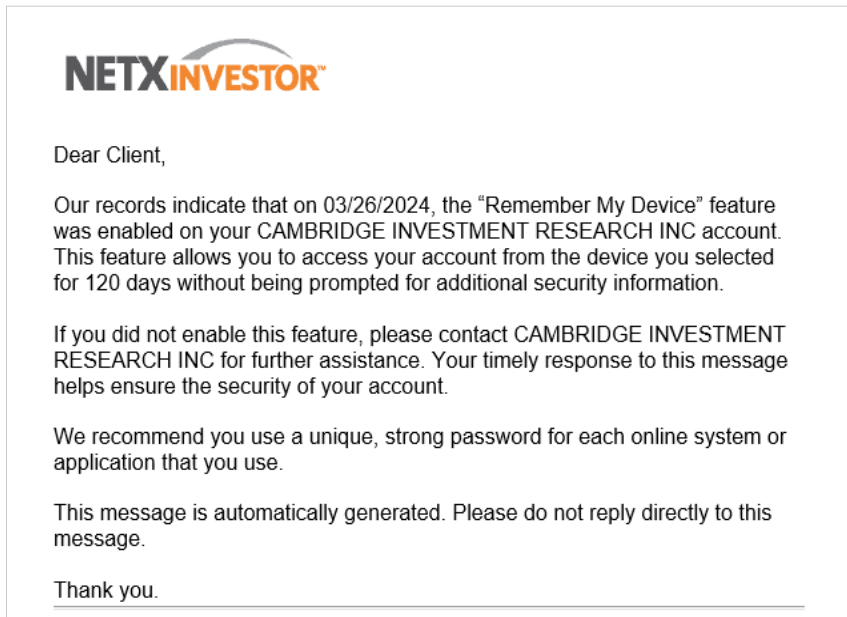
Text STOP to 75780 or 59422 to cancel | Text HELP to 75780 or 59422 for help or call 888-245-0452, Option 1 | Message & data rates may apply. [SMS Terms & Conditions](#)

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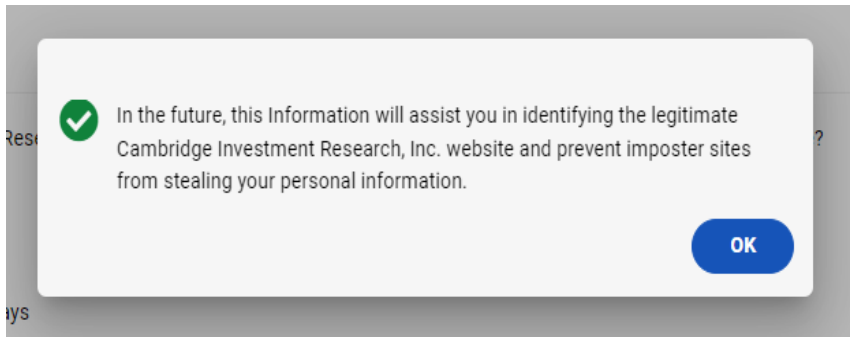
Asset Protection FAQs	Margin Disclosure	Cookie Notice
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[Switch to Accessible Theme](#)

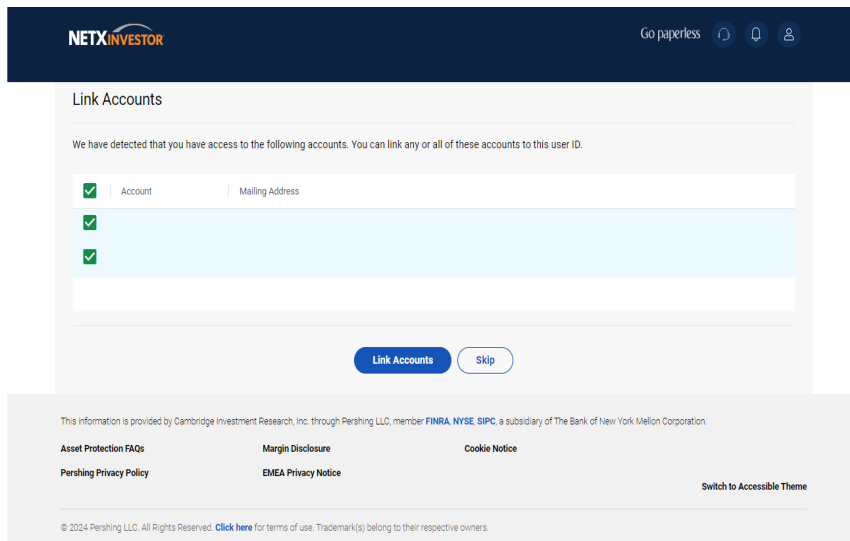
- If Remember My Device is selected, an email like below will be sent:



17. The following message indicates the information provided will be used in the future to access accounts online. Select **OK**.



18. If there are additional eligible accounts open at the time of registration, the following screen will display to link these accounts. Check applicable boxes and select **Submit**.



19. After going through any additional security measures, the following screen will enable **Electronic Delivery**. Complete the required fields and select **Accept**.

Now offering electronic delivery of all your financial documents.

Ready to stop receiving the paper version of your documents in the mail and embrace the convenience of digital delivery? Provide an email address (or two) below and be enrolled in eDelivery of your documents, saving yourself a walk to the mailbox.

You can revert to paper mail delivery for any of your documents, at any time, by changing your delivery selection on the Settings page (but really why would you do that?)

Email Address
TECHACCESS@CIR2.COM

Second Email Address (Optional)
Enter Email Address here

Accept → **Remind Me Later**

By providing the email address(es), you consent to have your financial documents (including statements, confirmations, and other types of both current and future communications in relation to your account(s)) made available to you electronically instead of by paper mail, in accordance with the Electronic Notification and Delivery Agreement [Terms and Conditions can be found here](#)

20. If the previous screen is missed or Remind Me Later was selected, electronic delivery can be setup by selecting **Go Paperless** in the upper right-hand corner of the screen.

NETX INVESTOR **Go paperless** Enter Symbol or Name

Accounts Transact Research Communications Pay Bills

Your Total Balance

Current Value	Today's Change	Available Cash	Long Market Value
\$0.00	↑ \$0.00	\$0.00	\$0.00

Line chart showing market value from Mar to Feb.

Accounts

Investments	Market Value	Gain/Loss
	\$0.00	\$0.00 0.00%
	\$0.00	\$0.00 0.00%

[View Account Balances](#)

Asset Allocation

[View All Holdings](#)

Add Goal

